Attorney Docket No.: 21402-138 (Cura-438)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NUV 2 1 2002

APPLICANTS ::

Herrmann, et al.

TECH CENTER 1600/2900

SERIAL NUMBER:

09/970,944

Examiner:

Not Yet Assigned

FILING DATE:

October 4,2002

Art Unit:

For:

1646

Novel Proteins and Nucleic Acids Encoding Same and Antibodies Directed Against

These Proteins

## **BOX IDS**

Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action based on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

APPLICANTS:

Herrmann, et al.

**U.S.S.N.:** 

09/970,944

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-21402-138 (Cura-438).

Respectfully submitted,

Lyor R. Elrifi, Reg. No. 39,529 Attorney for Applicants

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Dated: November 18, 2002

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Page 1 of 2

PTO/SB (12-97)

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Approved for use through 9/30/00. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Modified Form 1449/PTO	Application Number	09/970,944
	Filing Date	October 4, 2001
INFORMATION DISCLOSURE	First Named Inventor	Herrmann
STATEMENT BY APPLICANT	Group Art Unit	1646
	Examiner Name	Not Yet Assigned
(use as many sheets as necessary)	Attorney Docket Number	21402-138 (Cura-438)

		•		U.S. PATENT DOCUMENTS			
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
Exam Initials	Cite No.	Foreig Office			Date of Publication	Translation Yes No	
	B1	wo	00/73328	DEVGEN NV	12/7/00		
	B2	wo	01/53455	HYSEQ, INC.	7/26/01		
	В3	wo	01/57190	HYSEQ, INC.	8/9/01		
	B4	wo	01/75440	WELFIDE CORPORATION	10/11/01		
	B5	wo	01/98354	INCYTE GENOMICS, INC.	12/27/01		
	B6	wo	02/10216	CURAGEN CORPORATION	2/7/02		
·	B7	wo	98/37085	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	12/27/98		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.			
	C1	International Search Report for PCT US 01/31377, mailed October 3, 2001.			
	C2	Leonardo, et al. (1997). "Vertebrate homologues of C. elegans UNC-5 are candidate netrin receptors" <i>Nature</i> 386(24):833-838.			

<ul><li>a copy of this refe</li></ul>	erence is not pr	ovided as it was previously cited by or submitted to the office in a prior application,
Serial No	, filed	, and relied upon for an earlier filing date under 35 U.S.C. §120
(continuation, conti	inuation-in-part	, and divisional applications).

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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**Application Number** 

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

October 4, 2001 **Filing Date First Named Inventor** Herrmann 1646 Group Art Unit Not Yet Assigned **Examiner Name** 21402-138 (Cura-438) Attorney Docket Number

(use as many sheets as necessary)

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